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1c960 U.S. PTO

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PTO/SB/05 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.: PDGM-3	
		First Named Inventor: Evans <i>et al.</i>	
		Title: Grating-Outcoupled Surface-Emitting Lasers	
		Express Mail Label No. <u>EL686118089US</u>	
<b>APPLICATION ELEMENTS</b> See MPEP Chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <u>    </u> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		5. <u>X</u> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
2. <u>X</u> Specification Total pages <u>7+38</u> (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		6. <u>    </u> Microfiche Computer Program (Appendix)	
3. <u>X</u> Drawing(s) (35USC d113) Total pages <u>22</u>		7. <u>    </u> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <u>    </u> Computer Readable Copy</li><li>b. <u>    </u> Paper Copy (identical to computer copy)</li><li>c. <u>    </u> Statement verifying identity of above copies</li></ul>	
4. Oath of Declaration <u>Unsigned</u> Total pages <u>2</u> <ul style="list-style-type: none"><li>a. <u>    </u> Newly Executed (original or copy)</li><li>b. <u>    </u> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)<ul style="list-style-type: none"><li>i. <u>    </u> Deletion of inventor(s) (Signed statement attached deleting inventor(s) named in the prior application, see 37, C.F.R. 1.63(d)(2) and 1.33(b).)</li></ul></li></ul>		8. <u>    </u> Assignment Papers (cover sheet & Documents(s)) 9. <u>    </u> 37 CFR §3.73(b) Statement (when there is an assignee) <u>    </u> Power of Attorney 10. <u>    </u> English Translation Document (if applicable) 11. <u>    </u> Information Disclosure Statement (IDS)/PTO-1449 <u>    </u> Copies of IDS Citations 12. <u>    </u> Preliminary Amendment 13. <u>X</u> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <u>    </u> *Small Entity Statement(s) (PTO/SB/09-12) <u>    </u> Statement filed in prior application -Status still proper and desired 15. <u>    </u> Certified Copy of Priority Document(s) . 16. <u>    </u> Other:	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment: <u>    </u> Continuation <u>    </u> Divisional <u>X</u> Continuation-in-part (CIP) of prior application No: 60/200,603 filed 4/28/00; 60/200,454 filed 4/28/00; 60/209,822 filed 6/6/00; and 60/230,534 filed 9/1/00; and 60/235,090 filed 9/25/00. Prior application information: Examiner: Group / Art Unit:			
18. CORRESPONDENCE ADDRESS: Name: Groover & Associates p.c. Address: 17000 Preston Road, Suite 230, Dallas, Texas 75248 (Dallas County) Phone: (972) 380-6333, fax (972) 380-4445			
Signature <u>Patrick Holmes</u> Patrick Holmes, Reg.No.46,380, Date: April 27, 2001			

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		15. <u>    </u> Certified Copy of Priority Document(s)	
		16. <u>    </u> Other:  * A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.	
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